



戶口服務種類更改書
Account Type Amendment Form

只接受正本(original only)

僅供現有客戶使用: For Existing Customers Only
Not Applicable for cancellation of Account 不適用於取消戶口

申請日期 Application Date:	
戶口名稱 Account Name:	戶口號碼 Account No:
經紀名稱 A.E. Name:	經紀編號 A.E. No.:

A. 增設服務/戶口 Additional Services/Account

網上交易服務 Online Trading Service

我/我們欲使用網上交易服務。我/我們已經閱讀並明白附表 1 闡明網上交易協議的條款，並接受這些條款的約束 I/We want to use the On-line Trading Services. I/We have read and understand the provisions of the On-Line Trading Agreement as set out in Schedule 1 and accept to be bound by the same.

本地期貨 Local Futures

外國期貨 Foreign Futures

槓桿式外匯 Forex

增設外國期貨戶口 Additional Foreign Futures Account

請按照期貨交易客戶協議為我/我們(在下面簽名的客戶)開立外國期貨交易帳戶。我/我們已經明白期貨交易客戶協議的條款，並接受這些條款的約束。Please open an Foreign Futures Account for me/us, the undersigned Customer(s), in accordance with the terms set out in the Futures Client Agreement, which I/We have read and accepted to be bound by the same.

增設槓桿式外匯交易戶口 Additional Forex Account

請按照槓桿式外匯交易協議為我/我們(在下面簽署的客戶)轉為槓桿式外匯交易帳戶。我/我們已經明白槓桿式外匯交易客戶協議的條款，並接受這些條款的約束。Please open an Foreign Futures Account for me/us, the undersigned Customer(s), in accordance with the terms set out in the Futures Client Agreement, which I/We have read and accepted to be bound by the same.

個人/主要帳戶持有人簽署 Signature of Individual/Primary Joint Account Holder:	聯名帳戶第二持有人簽署 Signature of Secondary Joint Account Holder:
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B. 客戶聲明/確認 Declaration/Acknowledgement by Customer

1. 你是否就第三類受規管活動(槓桿式外匯買賣)獲發牌的中介人(持牌法團)的僱員或代理人,並直接參與該受規管活動?(如是,請提供你僱員的書面同意)?Are you an employee or agent of: (i)An intermediary (licensed corporation) licensed for Type 3 Regulated Activity (Leveraged Foreign Exchange Trading)
 是 Yes, 有關中介人的名稱是 _____
 否 No

2. 你是否與輝立證券的職員/代理人有親戚關係?
 Are you a relative of an employee/agent of Phillip Securities (HK) Limited?
 是 Yes, 職員/代理人的名稱 Name of Employee/Agent: _____
 否 No

只供槓桿式外匯交易戶口使用 Only for Leveraged Foreign Exchange Trading Client Account Only

3. 客戶是否委託授權人運作本戶口有關事宜?
 Are you appoint any particular of appointee to operate and deal with all matters in relation to the account?
 是 Yes 請填妥委託帳戶授權書 please complete the "Agreement of Authorized Person": _____
 否 No

I/We acknowledge that 本人/吾等確認:

- i. 本人/吾等曾被邀請細閱輝立商品(香港)有限公司之客戶協議書中附表三的的風險披露聲明內容,如本人/吾等有需要,本人/吾等可以發問及尋求獨立意見 I was/We were invited to read the Risk Disclosure Statement in Schedule 3 of the Client Agreement with Phillip Commodities (H.K.) Ltd., ask questions and take independent advice, if I/We wished;
- ii. 協議書及附表內容均以本人/吾等所選擇的語言(中文或英文)提供 the agreement and the schedules attached were provided in a language (English or Chinese) of my choice;
- iii. 本協議書內所載列之個人資料僅用作財務建議服務的申請。客戶個人資料將依據本公司客戶協議中的個人資料收集聲明來處理 the personal data herein shall be used for processing your application for change of account type. Handling of personal data will be in accordance with the Company's Personal Information Collection Statement, as stated in the Client Agreement provided upon account opening;
- iv. 輝立商品(香港)有限公司於收妥閣下申請表後 14 天內以書面形式確認閣下之申請,或以電話形式通知閣下之申請不被接納 Phillip Commodities (H.K.) Limited shall either confirm your application by written notice or inform you of our refusal thereof via telephone no later than 14 days after receipt of it.

**C. 職員/客戶主任聲明 Declaration by Staff/Account Executive
(外國期貨或網上交易戶口 only for FF/Online Trading)**

我_____ (註冊人員姓名正楷), 確認已經按照客戶選擇的語言英文或中文提供了載於期貨交易客戶附表 3 的風險披露聲明及免責聲明, 詢問有關問題, 如果客戶要求, 亦提供了獨立的意見, 並且我已經邀請客戶閱讀該風險披露聲明, 擬出有關問題及徵求獨立意見(如客戶有此意願)

I, _____ (Print name of registered person) confirm that I have provided that Risk Disclosure Statement & Disclaimer as set out in schedule 3 in a language (English or Chinese) of the Customer's choice and that I have invited the Customer to read the Risk Disclosure Statement, ask questions and take independent advice, if the Customer wishes.

客戶主任/職員簽名Signature of Staff/AE:		CE編號 CE No.	
客戶主任/職員姓名Name of Staff/AE:		日期 Date:	

**D. 職員/客戶主任聲明 Declaration by Staff/Account Executive
(槓桿式外匯交易 Only For FX Trading)**

我_____ (註冊人員姓名正楷), 確認已經按照客戶選擇的語言英文或中文提供了載於槓桿式外匯交易附表 3 的風險披露聲明及免責聲明, 詢問有關問題, 如果客戶要求, 亦提供了獨立的意見, 並且我已經邀請客戶閱讀該風險披露聲明, 擬出有關問題及徵求獨立意見(如客戶有此意願)

I, _____ (Print name of registered person) confirm that I have provided that Risk Disclosure Statement & Disclaimer as set out in schedule 3 in a language (English or Chinese) of the Customer's choice and that I have invited the Customer to read the Risk Disclosure Statement, ask questions and take independent advice, if the Customer wishes.

客戶主任/職員簽名Signature of Staff/AE:		CE編號 CE No.	
客戶主任/職員姓名Name of Staff/AE:		日期Date:	

只供本行使用 Office Use Only

批核 Approval:

職員姓名: Name of Staff:	職員簽署: Signature:	職位: Position
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備註 Remarks:

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