



**Application Form of Excel RealTime Trading and Price Quoting System (Excel RT)**  
**(Original Copy Accepted Only)**

To: Phillip Securities (Hong Kong) Limited / Phillip Commodities (Hong Kong) Limited  
 11/F United Centre, Queensway, Hong Kong

Please carefully read the contents set out in this form before applying for Excel RealTime Trading and Price Quoting System. Please complete the application form and send it to us by post or in person. For enquiry, please contact our hotline at (852) 2277-6730 or email to [ats@phillip.com.hk](mailto:ats@phillip.com.hk)

**Service Plan** (Please choose the suitable service plan and fill in "✓" in the corresponding checkbox)

Service Plan	Service Plan Detail	Monthly Service Fee
A	HK Stocks & Futures + Stock & Index Options + Foreign Futures	HK\$ 1,200
Monthly fee will be waived if equivalent commission is generated in the previous calendar month.		

- Remark: 1) Clients wishing to join any of the above plans should have successfully applied for SATS, OATS or FATS Trading System beforehand.
- 2) Client is required to pay the difference between service fee & commission in case that the commission does not meet the above requirement.
- 3) The monthly service fee can be waived if the client holds assets of HKD \$1 million or more in his/her Hong Kong Stock or Hong Kong Stock option account, or assets of HKD \$500,000 or more in his/her Futures account.
- 4) 30 days free trial period is provided once the service plan is effective (including Saturday, Sunday and public holidays). Excel RealTime service will continue after the free trial period until it is cancelled by prior notice (in writing).
- 5) Should clients wish to terminate the service plan, he/she needs to fill in 'Excel RealTime Service Termination Form' and send the original copy back to 11/F United Centre, Queensway, Hong Kong 5 days before the last working day of each month. Otherwise Excel RealTime service will be automatically renewed and charge will be continually deducted from the client account.
- 6) The company reserves the right to terminate any of the following offer(s) and to vary or modify any of the terms and conditions above from time to time. In case of disputes, the decision of the company shall be final and binding.

**Payment Method**

I authorize Phillip Securities (Hong Kong) Limited and Phillip Commodities (Hong Kong) Limited to debit from my securities account the service fee for Excel RealTime Trading and Price Quoting System.

Client Name (ENG)		A/C No.(Stock) <small>(must fill in)</small>	
AE Name	(AE No.)	Date	_____ (dd) / _____ (mm) / _____ (yy)
Client Signature		AE Signature	

**For Office Use Only**

Client info. Verified/Approved by:		
Name of staff:	Signature:	Effective Date: